

Konami
KCMS 3.17 Online Slot Metering System with
Table Games Accounting Functionality
Suggested Trial Procedures

Each day accounting and auditing personnel shall:

Soft Count Documentation:

1. Trace the drop for each shift during the day by table, by game type, and in grand total from the Master Gaming Report to the Drop Verification Report.

Table Game Documentation:

1. Trace the individual table inventory openers/closers for each shift to the Master Gaming Report.
2. Foot all fill and credit slips for each shift and trace to the calculated totals to the grand totals on the Fill/Credit Detail Report and the Master Gaming Report.
3. Select a sample of fill and credit slips from all shifts (up to a maximum of 25) for each day and trace them individually to the Fill/Credit Detail Report for the applicable shift. Review each fill and credit slip in the sample for compliance with the Minimum Internal Control Standards (MICS).
4. Recalculate the Statistical Win, Statistical Win to Statistical Drop hold percentage by table and in total on the Master Gaming Report. Investigate any variances with results documented.
5. Inspect all voided fill and credit slips for compliance with the Minimum Internal Control Standards (MICS). Trace all voided fill and credit slips to the Fill/Credit Detail Report.

General

1. On a sample basis, such that each report indicated in this letter is verified at least once, foot and cross-foot the system generated reports to verify the clerical accuracy of the reports.

NOTE: It is acceptable to utilize tools such as Microsoft Excel to perform this step. In instances where reports exceed 10 pages in length, it is acceptable to perform the footing and cross footing on a meaningful sample from the detailed reports. The grand totals for the sample on the detailed reports must then be traced to the totals on the summary report.

2. Maintain a log of system problems occurring during the trial period (i.e., any problems relating to the functioning of the system, large variances, incorrect calculations, etc.). This log is to include, at a minimum, the date, description of the problem and the initials of the person recording the information. Please maintain the log as one continuous document or file (i.e. do not file with each day's work).
3. Maintain a log of customer complaints, if any, regarding Konami KCMS 3.17 Online Slot Metering System with Table Games Accounting functionality, including the date, time, and description of the complaint and the names of the individuals involved.
4. Maintain all fill/credit slips, table games documentation, cage documentation, computer generated reports, and computer storage media in accordance with Regulation 6.040(1). Also maintain documentation evidencing the performance of all trial procedures (logs, checklists, spreadsheets, calculator tapes, etc.).
5. Perform any other procedures necessary to ensure that employees are complying with documented internal control procedures and NGC regulations.